
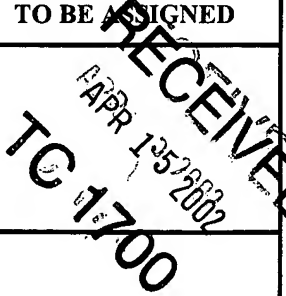


1746

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. WLJ.056CIP
In Re Application Of: <b>Leslie Michael LEA et al.</b>			
Serial No. 10/043,265	Filing Date 01/14/2002	Examiner TO BE ASSIGNED	Group Art Unit TO BE ASSIGNED
Title: <b>PLASMA PROCESSING APPARATUS</b>			
<div style="display: flex; justify-content: space-between;"><div></div><div></div></div> <div style="text-align: center; margin-top: 20px;">Address to: <b>Assistant Commissioner for Patents</b> <b>Washington, D.C. 20231</b></div> <div style="text-align: center; margin-top: 20px;"><b>37 CFR 1.97(b)</b></div> <div>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</div> <div style="text-align: center; margin-top: 20px;"><b>37 CFR 1.97(c)</b></div> <div>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: <div style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</div><div style="text-align: center; margin-top: 10px;"><b>OR</b></div><div style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</div><div style="margin-top: 40px;">3. <input checked="" type="checkbox"/> <b>The Examiner's attention is also directed to related parent application Serial No. 09/601,958, filed 10 August 2000.</b></div></div>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
WLJ.056CIP

In Re Application: Leslie Michael LEA et al.

Serial No.  
10/043,265

Filing Date  
01/14/2002

Examiner  
TO BE ASSIGNED

Group Art Unit  
TO BE ASSIGNED

PLASMA PROCESSING APPARATUS

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
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Dated: APRIL 11, 2002

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RESTON, VA 20191

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**WLJ.056CIP**

**10/043,265**

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01/14/02

GROUP

1746

U.S. PATENT DOCUMENTS

GROUP		1746	
CLASS		SUBCLASS	
APR 15 2002		FILING	
TC 1700		APR 15 2002	

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
✓	Y	GB 2 105 729 A	3/30/1983	UK			✓	
✓	Z	EP 0 200 951 A2	12/17/1986	EPO			✓	
✓	AA	EP 0 822 584 A2	2/4/1998	EPO			✓	
✓	BB	EP 0 363 982 A2	4/18/1990	EPO			✓	
✓	CC	EP 0 658 917 A2	6/21/1995	EPO			✓	


DATE CONSIDERED

**Form PTO-A820**  
**(also form PTO-1449)**

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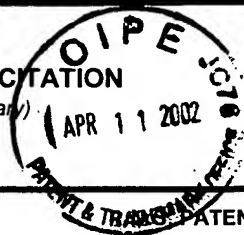
P09C/REV03

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

WLJ.056CIP

SERIAL NO.

10/043,265

Leslie Michael LEA et al.

FILING

01/14/02

GROUP

1746

## PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	Q	4,943,345	7/24/1990	ASMUSSEN ET AL.			
	R	4,795,529	1/3/1989	KAWASAKI ET AL.			
	S	5,501,893	3/26/1996	LAERMER ET AL.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/	T	EP 0 451,943 A2	10/16/1991	EPO			✓	
/	U	EP 0 831 516 A2	3/25/1998	EPO			✓	
/	V	EP 0 497 563 A2	8/5/1992	EPO			✓	
/	W	EP 0 522 296 A2	1/13/1993	EPO			✓	
/	X	GB 2 327 909 A	2/10/1999	UK			✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

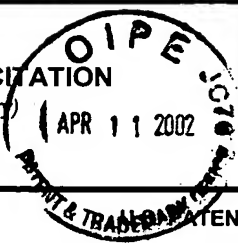

EXAMINER

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO. WLJ.056CIP		SERIAL NO. 10/043,265
Leslie Michael LEA et al.		
FILING 01/14/02	GROUP 1746	

## PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	4,358,686	11/9/1982	KINOSHITA			
	B	4,740,268	4/26/1988	BUKHMANN			
	C	4,919,783	4/24/1990	ASAMAKI ET AL.			
	D	5,038,013	8/6/1991	AKAZAWA ET AL.			
	E	5,417,798	5/23/1995	NISHIBAYASHI ET AL.			
	F	5,518,572	05/21/1996	KINOSHITA ET AL.			
	G	5,527,394	6/18/1996	HEINRICH ET AL.			
	H	5,556,501	9/17/1996	COLLINS ET AL.			
	I	5,783,100	7/21/1998	BLALOCK ET AL.			
	J	4,624,214	11/25/1986	SUZUKI ET AL.			
	K	4,891,095	1/2/1990	ISHIDA ET AL.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/	L	61-39521	2/25/1986	JAPAN				✓
/	M	1-238020	9/22/1989	JAPAN				✓
✓	N	EP 0 822 582 A2	2/4/1998	EPO			✓	
/	O	EP 0 867 913 A1	9/30/1998	EPO			✓	
/	P	EP 0 488 393 A2	6/3/1992	EPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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